

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Addiesa: COMMISSIONER FOR PATENTS P O Box 1450 Alexandra, Virginia 22313-1450 www.wepto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/574,850	04/06/2006	Shinji Saito	289123USORD PCT	7121
23859 7590 02/06/2009 OBLON, SPIVAK, MCCLELLAND MAIER & NEUSTADT, P.C. 1940 DUKE STREET			EXAMINER	
			HUYNH, ANDY	
ALEXANDRIA, VA 22314			ART UNIT	PAPER NUMBER
			2818	
			NOTIFICATION DATE	DELIVERY MODE
			02/06/2009	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

patentdocket@oblon.com oblonpat@oblon.com jgardner@oblon.com

## Application No. Applicant(s) 10/574.850 SAITO ET AL. Office Action Summary Examiner Art Unit ANDY HUYNH 2818 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 29 December 2008. 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1-20 is/are pending in the application. 4a) Of the above claim(s) 9-20 is/are withdrawn from consideration. 5) Claim(s) \_\_\_\_\_ is/are allowed. 6) Claim(s) 1.2 and 4-8 is/are rejected. 7) Claim(s) 3 is/are objected to. 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) ☑ The drawing(s) filed on 06 April 2006 is/are: a) ☑ accepted or b) ☐ objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some \* c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). \* See the attached detailed Office action for a list of the certified copies not received. Attachment(s) 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date. Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) 5) Notice of Informal Patent Application

Paper No(s)/Mail Date 06/28/06,08/08/06,10/15/07,12/26/07,06/09/08.

6) Other:



Application No.

### DETAILED ACTION

#### Election/Restrictions

This is responsive to Applicants' Response to Restriction Requirement filed December 29, 2008. In view the Response, Applicants have elected without traverse the invention of Group I, Claims 1-8 drawn to a device, for prosecution is acknowledged. Accordingly, Claims 9-20 are withdrawn from consideration as being directed to a non-elected invention. See 37 CFR 35 § 1.142(b) and MPEP § 821.03. Applicants have the right to file a divisional application covering the subject matter of the non-elected Claims 9-20.

### Priority

Acknowledgment is made of applicant's claim for foreign priority under 35
U.S.C. 119(a)-(d) based on an application filed in JAPAN, 2005-172585 on 06/13/2005.

### Information Disclosure Statement

This office acknowledges receipt of the following items from the applicant: Information Disclosure Statement(s) (IDS(s)) filed on 06/28/2006, 08/08/2006, 10/15/2007, 12/26/2007 and 06/09/2008 made of record. The references cited on the PTOL 1449 form have been considered.

Application/Control Number: 10/574,850 Page 3

Art Unit: 2818

Specification

The disclosure is objected to because of the following informalities:

The title of the invention is not descriptive. A new title is required that is clearly

indicative of the invention to which the claims are directed. It is noted that the claims are drawn

to a device.

The specification has been checked to the extent necessary to determine the presence of

all possible minor errors. However, the applicant's cooperation is requested in correcting any

errors of which applicant may become aware in the specification.

Claim Objections

Claim 1 is objected to because of the following reasons.

At line 4, "the nitride semiconductor layer" should read -the p-type nitride semiconductor

layer--.

Claim Rejections - 35 U.S.C. § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the

basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Art Unit: 2818

Claim 1 is rejected under 35 U.S.C. 102(b) as being anticipated by US 2002/0036286 A1 to Ho et al. hereinafter "Ho."

Ho discloses in Fig. 3 a semiconductor device, comprising:

a p-type nitride semiconductor layer 3; and

a p-side electrode 4 including a palladium oxide film/a semiconductor oxide layer 41 being a palladium oxide film (PdO) connected to a surface of the nitride semiconductor layer (pars. 0024-0028).

Claims 1, and 4-7 are rejected under 35 U.S.C. 102(b) as being anticipated by US 2004/0159836 A1 to Sugimoto et al. hereinafter "Sugimoto," Applicants' submitted prior art (ASPA).

Regarding Claim 1, Sugimoto discloses in Figs. 6A-6C a semiconductor device, comprising:

a p-type nitride semiconductor layer (not labeled); and

a p-side electrode 605 including a palladium oxide film/upper layer 605(a) connected to a surface of the nitride semiconductor layer (pars. 0019 and 0025).

Regarding Claims 4-7, Sugimoto discloses wherein the p-side electrode includes a palladium (Pd)/platinum (Pt) film on a surface of the palladium oxide film/upper layer 605(a); wherein the p-side electrode includes a first nickel containing film, made partly of a nickel oxide, on a surface of the palladium film, and a gold film on a surface of the first nickel containing film;

Art Unit: 2818

and wherein the p-side electrode includes a second nickel containing film, made partly of a nickel oxide, on the gold film (Fig. 6C, pars. 0067-0068).

#### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in Graham v. John Deere Co., 383 U.S. 1, 148 USPQ 459

(1966), that are applied for establishing a background for determining obviousness under 35

U.S.C. 103(a) are summarized as follows:

- Determining the scope and contents of the prior art.
- Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- Considering objective evidence present in the application indicating obviousness or nonobviousness.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

Art Unit: 2818

Claims 2 and 8 is rejected under 35 U.S.C. 103(a) as being unpatentable over US 2004/0159836 A1 to Sugimoto et al. hereinafter "Sugimoto," Applicants' submitted prior art (ASPA).

Regarding Claim 2, Sugimoto does not disclose the following Ifeatures. It would have been obvious to one having ordinary skill in the art at the time of the invention was made to form the palladium oxide film including a platinum sulfide structure type palladium oxide crystal, since it has been held to be within the general skill of a worker in the art to select a known material on the basis of its suitability for the intended use as a matter of obvious design choice. In re Leshin, 125 USPO 416.

Regarding Claim 8, Sugimoto does not disclose the following limitations. It would have been obvious to one having ordinary skill in the art at the time of the invention was made to select mol fraction of oxygen contained in the first nickel containing film is less than the mol fraction in the second nickel containing film, since it has been held that discovering an optimum value of a result effective variable involves only routine skill in the art. In re Boesch, 617 F.2d 272, 205 USPQ 215 (CCPA 1980).

### Allowable Subject Matter

Claim 3 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims, since the prior art made of record and considered pertinent to the applicant's disclosure does not teach or suggest the claimed limitations. Ho and/or Sugimoto,

Art Unit: 2818

taken alone or in combination, fail to teach the claimed invention a semiconductor device wherein a percentage content of the platinum sulfide structure type palladium oxide crystal included in the palladium oxide film is not less than about 50 %.

#### Conclusion

A shortened statutory period for response to this action is set to expire 3 (three) months and 0 (zero) day from the day of this letter. Failure to respond within the period for response will cause the application to become abandoned (see M.P.E.P 710.02(b)).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Andy Huynh, (571) 272-1781. The examiner can normally be reached on Monday-Friday from 6:30 AM to 3:00 PM. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Steven Loke can be reached on (571) 272-1657. The Fax number for the organization where this application or proceeding is assigned is (571) 273-8300.

Any inquiry of a general nature or relating to the -status of this application or proceeding should be directed to the receptionist whose phone number is (703) 308-0956.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR

Art Unit: 2818

system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Andy Huynh/ Primary Examiner, Art Unit 2818 Page 8